

This webinar is being recorded.

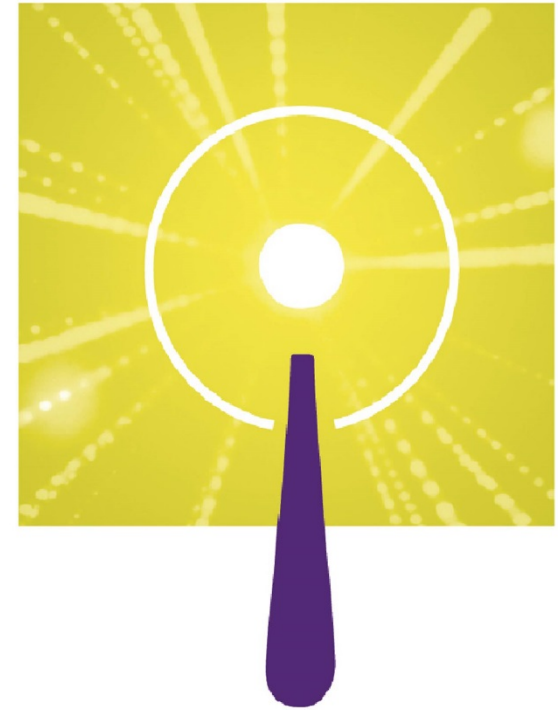
# Q&A Webinar

June 29, 2021 @ 3:00 PM EDT

Please submit your questions in the “Q&A” box  
(scientific inquiries will not be discussed)



NIH DIRECTOR'S  
**NEW INNOVATOR**  
AWARD



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[NewInnovatorAwards@mail.nih.gov](mailto:NewInnovatorAwards@mail.nih.gov)

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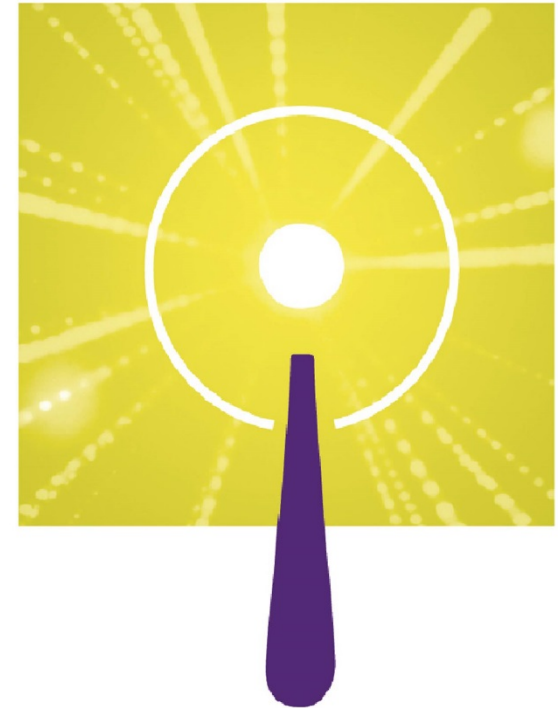
# Q&A Webinar

- Submit questions in the “Q&A” box
- Scientific or situationally specific questions will not be discussed (email us)
- For additional questions, contact us at [NewInnovatorAwards@mail.nih.gov](mailto:NewInnovatorAwards@mail.nih.gov)
- Webinar recording & slides will be posted on website at [commonfund.nih.gov/newinnovator](https://commonfund.nih.gov/newinnovator)
- For more application guidance, see our [Application & Award Guide](#) on website (includes example applications)



National Institutes of Health  
Office of Strategic Coordination - The Common Fund

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[commonfund.nih.gov/newinnovator](https://commonfund.nih.gov/newinnovator)  
[NewInnovatorAwards@mail.nih.gov](mailto:NewInnovatorAwards@mail.nih.gov)



# Panelists

Ravi Basavappa, Ph.D.

Program Leader  
Office of the Director

Becky Miller, Ph.D.

Program Officer  
Office of the Director

Makyba Charles-Ayinde, Ph.D.

Health Science Policy Analyst  
Office of the Director

Eugene Carstea, Ph.D.

Branch Chief  
Center for Scientific Review

Imoh Okon, Ph.D.

Scientific Review Officer  
Center for Scientific Review

Ellie Murcia, M.Ed.

Program Specialist  
Office of the Director

# The Common Fund (in the NIH Office of the Director)

## Current Programs:



*The Common Fund*

### Data/Tools/Methods

- Bridge to Artificial Intelligence (Bridge2AI)
- Cellular Senescence Network (SenNet)
- Gabriella Miller Kids First
- Genotype-Tissue Expression (GTEx)
- Glycoscience
- Human BioMolecular Atlas Program (HuBMAP)
- Illuminating the Druggable Genome (IDG)
- Knockout Mouse Phenotyping (KOMP)
- Metabolomics
- Protein Capture Reagents
- Library of Integrated Network-Based Cellular Signatures (LINCS)
- Science of Behavior Change (SOBC)
- Somatic Cell Genome Editing (SCGE)
- Stimulating Peripheral Activity to Relieve Conditions (SPARC)
- Transformative High Resolution Cryo-Electron Microscopy (CryoEM)

### New Paradigms

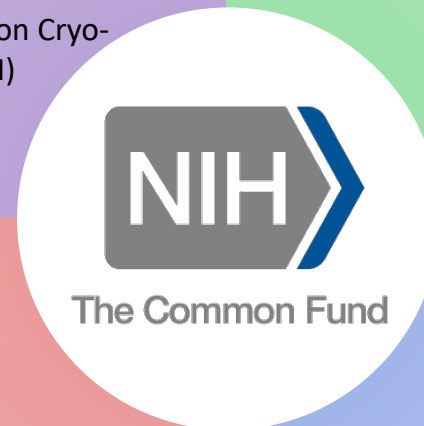
- 4D Nucleome (4DN)
- Extracellular RNA Communication (exRNA)
- Molecular Transducers of Physical Activity Consortium (MoTrPAC)

### New Types of Clinical Partnerships

- Acute to Chronic Pain Signatures (A2CPS)
- Global Health
- Harnessing Data Science for Health Discovery and Innovation in Africa (DS-I Africa)
- HCS Research Collaboratory
- Nutrition for Precision Health, powered by the All of Us Research program
- Undiagnosed Diseases Network (UDN)

### Transformative Workforce Support

- Enhancing the Diversity of the NIH-Funded Workforce (DPC/BUILD)
- Faculty Institutional Recruitment for Sustainable Transformation (FIRST)
- High-Risk, High-Reward Research Program
  - Pioneer Award
  - New Innovator Award
  - Transformative Research Award
  - Early Independence Award
- Transformative Research to Address Health Disparities and Advance Health Equity



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### New Paradigms

- 4D Nucleome (4DN)
- Extracellular RNA Communication (exRNA)
- Molecular Transducers of Physical Activity Consortium (MoTrPAC)

### Transformative Workforce Support

- Faculty Development and Career Advancement of the NIH-Funded Workforce (DPC/BUILD)
- Recruitment for Sustainable Transformation
- Research Program
- Career Award
- New Innovator Award
- Transformative Research Award
- Early Independence Award
- Transformative Research to Address Health Disparities and Advance Health Equity

**CF programs may be useful for your research:  
FOAs, access to high-end instruments, databases,  
reagents, protocols, ....**

# The Common Fund (in the NIH Office of the Director)

## Current Programs:



The Common Fund

### Data/Tools/Methods

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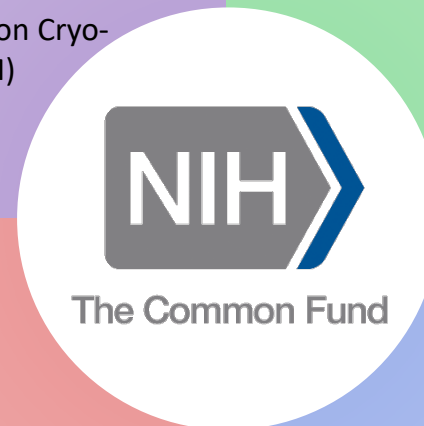
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### Transformative Workforce Support

- Enhancing the Diversity of the NIH-Funded Workforce (DPC/BUILD)
- Faculty Institutional Recruitment for Sustainable Transformation (FIRST)
- **High-Risk, High-Reward Research Program**
  - **Pioneer Award**
  - **New Innovator Award**
  - **Transformative Research Award**
  - **Early Independence Award**
- Transformative Research to Address Health Disparities and Advance Health Equity

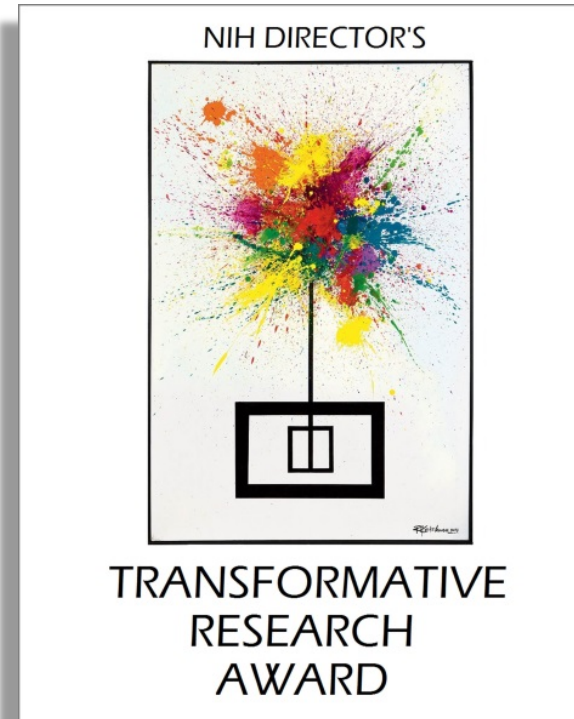
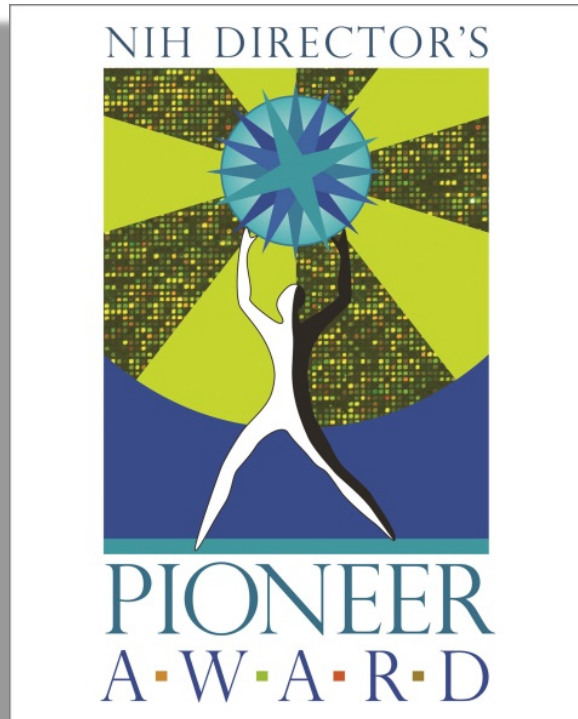




# High-Risk, High-Reward Research Program



*The Common Fund*

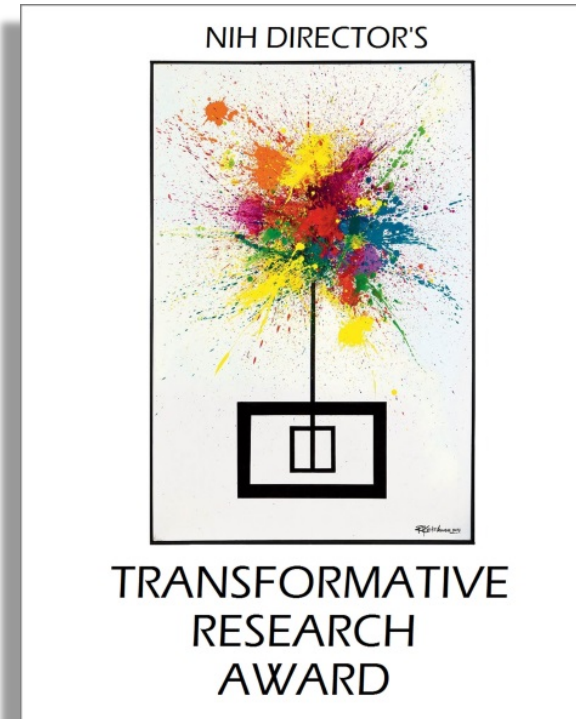
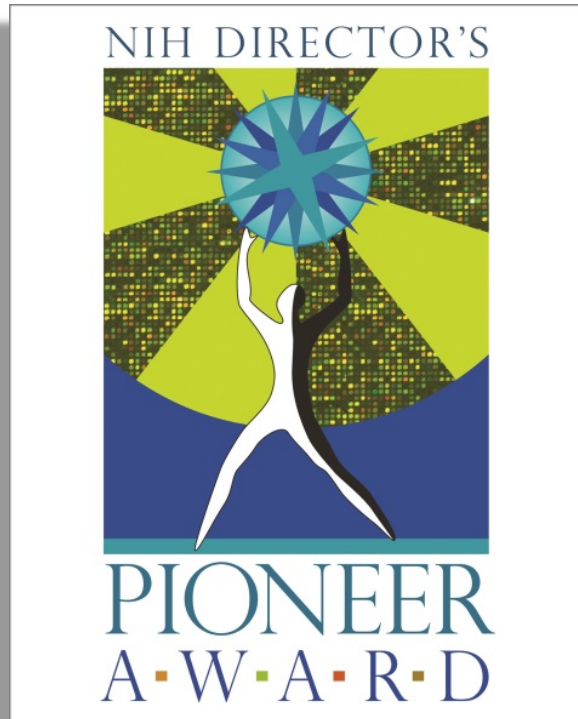


## Annual funding opportunities

# High-Risk, High-Reward Research Program



*The Common Fund*



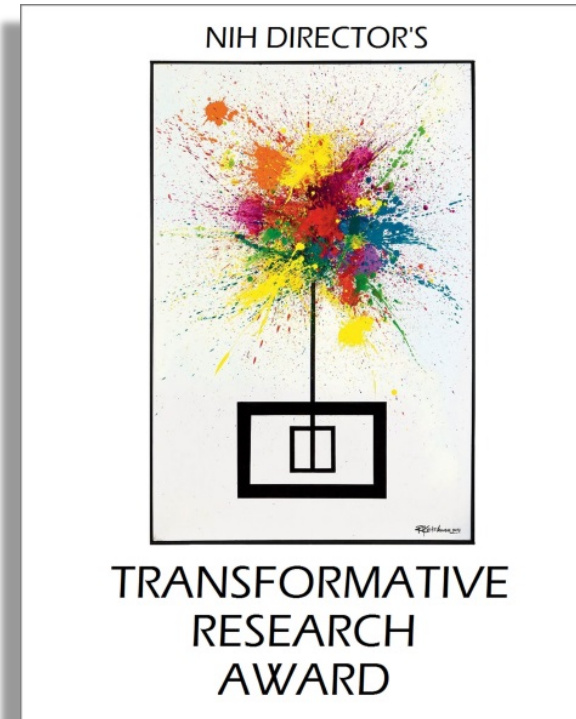
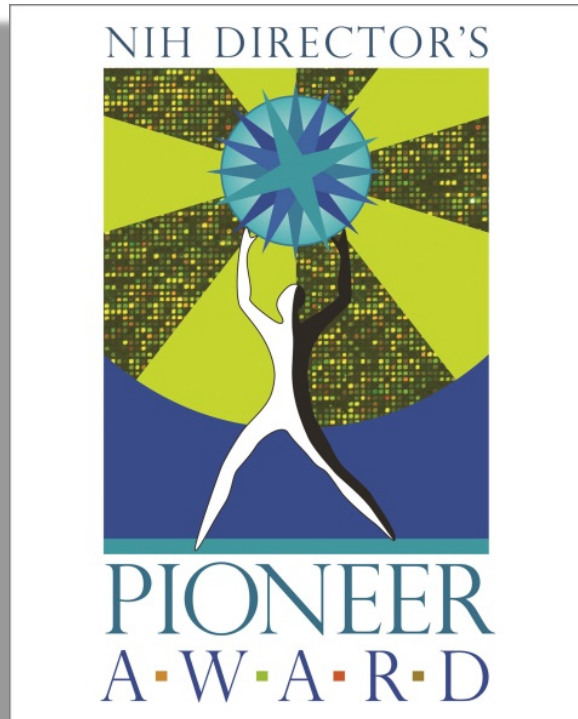
High-risk, high-impact ideas



# High-Risk, High-Reward Research Program



*The Common Fund*

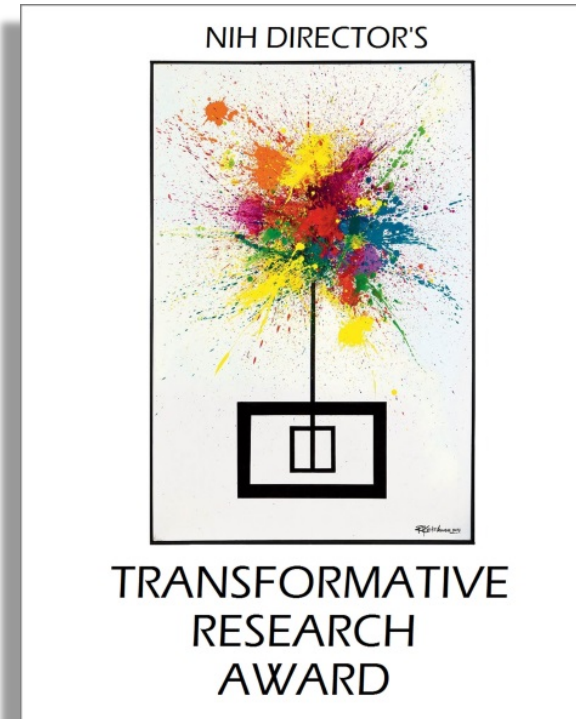
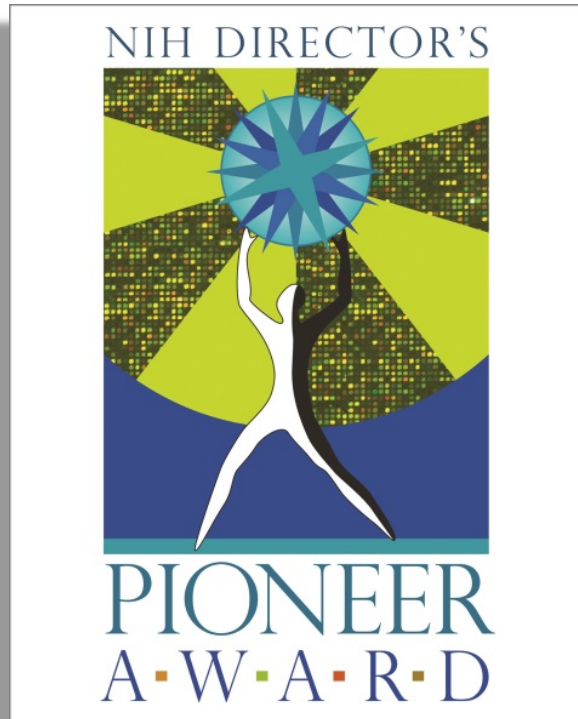


No preliminary data or detailed  
experimental plan required

# High-Risk, High-Reward Research Program



The Common Fund

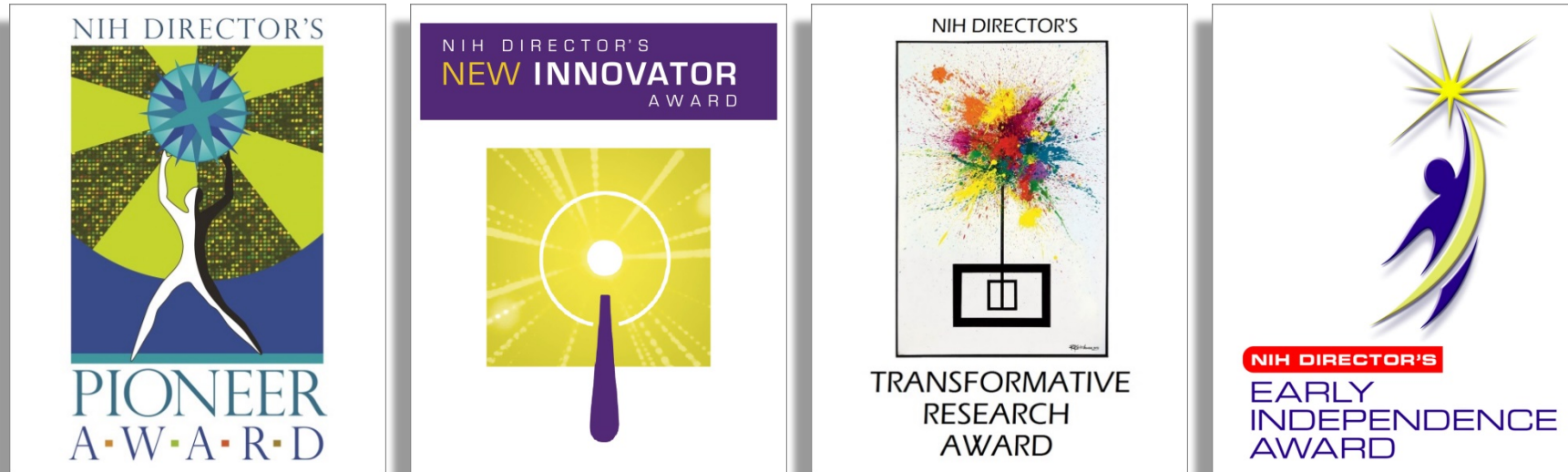


*Any topic relevant to NIH mission*

# High-Risk, High-Reward Research Program



The Common Fund



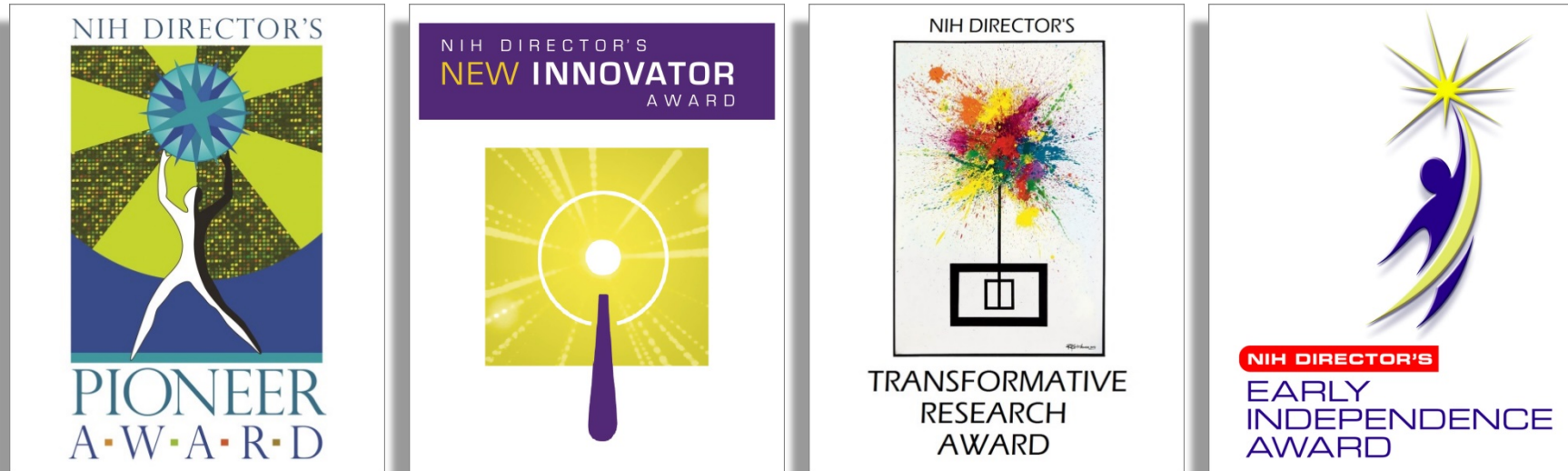
*Any* topic relevant to NIH mission, including:  
behavioral, social, biomedical, applied, and formal sciences,  
and basic, translational, or clinical research



# High-Risk, High-Reward Research Program



*The Common Fund*



Encourages applications from investigators with  
diverse backgrounds  
and from the full spectrum of eligible institutions

# High-Risk, High-Reward Research Program



*The Common Fund*

## Chair

**James M. Anderson, MD, PhD**  
OD

## Program Leader

**Ravi Basavappa, PhD**  
OSC/DPCPSI/OD

## Members

**Kristin Abraham, PhD**  
NIDDK

**Hugh Auchincloss, MD**  
NIAID

**David Balshaw, PhD**  
NIEHS

**Abraham P. Bautista, PhD**  
NIAAA

**Eugene Carstea, PhD**  
CSR

**Robert H Carter, MD**  
NIAMS

**Makyba Charles-Ayinde, PhD**  
OSC/DPCPSI/OD

**Jennifer Collins, MR**  
NIEHS

**Christine Colvis, PhD**  
NCATS

**Milton Corn, MD, FACP,  
FACMI**  
NLM

**Emmeline Edwards, PhD**  
NCCIH

**Zeynep Erim, PhD**  
NIBIB

**Rene Etcheberrigaray, MD**  
NIA

**Lia Fleming, MPH**  
CSR

**Valerie Florance, PhD**  
NLM

**Nancy Freeman, PhD**  
NIDCR

**Gabriel Hidalgo**  
NIDCR

**Christine Hunter, PhD, ABPP**  
OBSSR/DPCPSI/OD

**Raymond Jacobson, PhD**  
CSR

**Flora Katz, PhD**  
FIC

**Anthony Kirilusha, PhD**  
NIAMS

**Susan Koester, PhD**  
NIMH

**James Li, PhD**  
CSR

**Roger Little, PhD**  
NIDA

**James Mack, PhD**  
CSR

**Judy A. Mietz, PhD**  
NCI

**Becky Miller, PhD**  
OSC/DPCPSI/OD

**Brett Miller, PhD**  
NICHD

**Daniel Miller, PhD**  
NINDS

**David Miller, PhD**  
NCI

**Michael Morse**  
OSC/DPCPSI/OD

**Ellie Murcia, MEd**  
OSC/DPCPSI/OD

**Imoh Okon, PhD**  
CSR

**Steven Pittenger**  
NCATS

**Srikanth Ranganathan, PhD**  
CSR

**Diana (Dede) Rutberg, MBA**  
NIDCR

**Suzanne Ryan, PhD**  
CSR

**John Satterlee, PhD**  
NIDA

**Carol Shreffler, PhD**  
NIEHS

**Lillian Shum, PhD**  
NIDCR

**Darren Sledjeski, PhD**  
NIGMS

**Heidi J. Sofia, PhD**  
NHGRI

**Barbara Sorkin**  
ODS/DPCPSI/OD

**Michael A. Steinmetz, PhD**  
NEI

**Nathaniel Stinson, Jr., PhD,  
MD**  
NIMHD

**Edmund Talley, PhD**  
NINDS

**Stephanie Johnson Webb,  
PhD**  
NHLBI

**Tasmeen Weik, DrPH, MPH**  
CSR

**Elizabeth L. Wilder, PhD**  
OSC/DPCPSI/OD

# High-Risk, High-Reward Research Program



The Common Fund



Supports unusually creative early career investigators proposing innovative, high-impact research

- Started in 2007
- Early Stage Investigator (no substantial NIH grant and within 10 years of doctoral degree or clinical training)
- Commit 25% research effort
- Awards of \$1.5 million disbursed in two segments (*change from previous years*)
- Uses DP2 activity code



# New Innovator Award - application



The Common Fund

Follow instructions in SF424 application guide unless specific guidance is provided in the FOA

Specific Aims Page	Do not use
Research Strategy Essay	10 pages (primary component of the application; see later slide for more information)
Biosketch	Only PI's biosketch allowed
Bibliography & References Cited	Do not use; include essential in references in Essay and within 10 page limit
Budget	No detailed budget allowed (indicate only \$1.5M)
Equipment	Do not use
Letters of support	Do not use
Resource Sharing Plan	Do not use; will be requested as Just-in-Time information
Other components/forms	Use when appropriate, such as Authentication of Key Biol./Chem. Resources; Vertebrate Animals; Human Subjects; Biohazards

# New Innovator Award - application



The Common Fund

Follow instructions in SF424 application guide unless specific guidance is provided in the FOA

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Other components/forms	<b>Use when appropriate, such as Authentication of Key Biol./Chem. Resources; Vertebrate Animals; Human Subjects; Biohazards</b>

# New Innovator Award – Research Strategy Essay



The Common Fund

In 10 pages, use the following headings or subsections:

- ***Project science areas*** – 1 digit code and abbreviation for primary and secondary areas
- ***Project Description*** – Describe scientific importance of topic; overall approach to be taken; preliminary data not required, but accepted; state that to comply with the FOA, a detailed experimental plan and extensive are not being provided; however, provide sufficient evidence that proposal has been deeply considered and will be pursued in a robust and rigorous manner
- ***Innovativeness*** – Provide argument of why proposal is exceptionally innovative
- ***Investigator qualifications*** – Support your claim of innovativeness in prior research
- ***Suitability for the New Innovator Award program*** – Describe why proposal is “HRHR”
- ***Statement of research effort commitment*** – State will commit at least 25% research effort toward project
- ***Bibliography/citations*** – Include critical citations; may be in an abbreviated form as long as identifier is unique



## Points to consider:

- Given review process used, be sure that what you write can be easily appreciated by people well outside the field for exceptional innovation and potential for unusually broad impact
- May be helpful to begin with a description of the landscape of the field and current state-of-the-art or boundaries; provide proper context for proposal and why it is so innovative and potentially impactful
- Ease the reader into the jargon of the field
- Though no data or detailed experimental plan are required, convince the reviewer that you have thought deeply about the project – identify risky aspects, how they will be mitigated, alternate approaches
- Also, convince the reviewer that the research will be performed in a robust and rigorous manner – validate new approaches, provide estimates of numbers of human or animal subjects (if used) and why, include that sex will be considered as a biological variable (if appropriate)

# Budget periods



*The Common Fund*

To comply with recent changes in HHS and NIH policy regarding multi-year funded (MYF) awards, New Innovator Award funds will no longer be disbursed in the first year for the entire ~5-year project period.

Instead, awards will be made in two MYF segments: one for the first three years and the second for the final two years.

This means that no-cost extensions beyond the initial five-year project period will be allowed.

Annual budgets are required in the application, but to help maintain budget flexibility, only minimum information is requested.

The budgets have the following two important constraints:

- For the first segment (9/1/2022 – 8/31/2025), the total direct cost must not exceed \$900,000.
- For the second segment (9/1/2025 – 8/31/2027), the total direct cost must not exceed \$600,000.



# Budget information in the application (cont.)



The Common Fund

Per the FOA instructions:

1. Select the appropriate Budget Type.  
(Project)

2. Provide the Budget Period Start Date and End Date – September 1 of one year and August 31 of the next calendar year, respectively. First budget period would be 9/1/2022 – 8/31/2023.

3. Section A: Senior/Key Persons provide an entry for the PD/PI, including the appropriate level of effort, and enter **\$0 for Requested Salary** and **\$0 for Fringe Benefits**. Entering \$0 does not imply that the PD/PI will not receive any salary or fringe benefit support from the grant.

RESEARCH & RELATED BUDGET - Budget Period 1

OMB Number: 4040-0001  
Expiration Date: 12/31/2022

ORGANIZATIONAL DUNS:  Enter name of Organization:

Budget Type: ☒ Project ☐ Subaward/Consortium

Budget Period: 1 Start Date:  End Date:

A. Senior/Key Persons

Prefix	First	Middle	Last	Suffix	Base Salary (\$)	Cal.	Months	Acad.	Sum.	Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)

Project Role:

Additional Senior Key Persons:

Total Funds requested for all Senior Key Persons in the attached file:

Total Senior/Key Person:

B. Other Personnel

Number of Personnel	Project Role	Cal.	Months	Acad.	Sum.	Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Post Doctoral Associates							
	Graduate Students							
	Undergraduate Students							
	Secretarial/Clerical							

Total Number Other Personnel:

Total Other Personnel:

Total Salary, Wages and Fringe Benefits (A+B):

# Budget information in the application (cont.)



The Common Fund

4. In Section C: Enter budget request for equipment. Provide justification using the Budget Justification.

C. Equipment Description

List items and dollar amount for each item exceeding \$5,000

Equipment Item	Funds Requested (\$)
<input type="text"/>	<input type="text"/>

Additional Equipment:

Total funds requested for all equipment listed in the attached file

Total Equipment

D. Travel

1. Domestic Travel Costs ( Incl. Canada, Mexico and U.S. Possessions)

2. Foreign Travel Costs

Total Travel Cost

Funds Requested (\$)

E. Participant/Trainee Support Costs

1. Tuition/Fees/Health Insurance

2. Stipends

3. Travel

4. Subsistence

Other

Number of Participants/Trainees

Total Participant/Trainee Support Costs

Funds Requested (\$)

# Budget information in the application (cont.)



The Common Fund

5. In Section F: Other Direct Costs add a line item titled 'Requested Direct Costs' and **provide the total direct cost request for that budget period**. If equipment is requested in Section C, do not add the equipment budget in Section F.

“Requested Direct Costs” covers everything except equipment costs for that budget period (including salaries, fringe benefits, supplies, travel, and other permitted costs)

6. Ensure that the two budget constraints described above are met:

- ≤ \$900,000 direct costs for years 1 – 3
- ≤ \$600,000 direct costs for years 4 – 5

**F. Other Direct Costs**

	Funds Requested (\$)
1. Materials and Supplies	
2. Publication Costs	
3. Consultant Services	
4. ADP/Computer Services	
5. Subawards/Consortium/Contractual Costs	
6. Equipment or Facility Rental/User Fees	
7. Alterations and Renovations	
8. <b>Requested Direct Costs</b>	
9.	
10.	
<b>Total Other Direct Costs</b>	

**G. Direct Costs**

	Funds Requested (\$)
<b>Total Direct Costs (A thru F)</b>	

**H. Indirect Costs**

Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	Funds Requested (\$)
<b>Total Indirect Costs</b>			

Cognizant Federal Agency (Agency Name, POC Name, and POC Phone Number)

**I. Total Direct and Indirect Costs**

	Funds Requested (\$)
<b>Total Direct and Indirect Institutional Costs (G + H)</b>	

**J. Fee**

	Funds Requested (\$)

**K. Total Costs and Fee**

	Funds Requested (\$)
<b>Total Costs and Fee (I + J)</b>	

**L. Budget Justification**

(Only attach one file.)

# Be sure to use FORMS-F-Revised



The Common Fund

Original application forms package (FORMS-F) did not include access to budget pages.

Please use FORMS-F-Revised, which allows access to budget pages.

If you've started with FORMS-F, you **must** move the application information to the **FORMS-F-Revised** package to submit successfully.

## Notice Informing the Community of Application Form Issue with RFA-RM-21-016 NIH Director's New Innovator Award Program (DP2 Clinical Trial Optional)

**Notice Number:**  
NOT-RM-21-030

### Key Dates

**Release Date:** June 28, 2021

### Related Announcements

[RFA-RM-21-016](#) - NIH Director's New Innovator Award Program (DP2 Clinical Trial Optional)

### Issued by

Office of Strategic Coordination ([Common Fund](#))

### Purpose

This Notice informs the community of an application form issue with [RFA-RM-21-016](#) NIH Director's New Innovator Award Program (DP2 Clinical Trial Optional).

The application forms package originally posted with this Funding Opportunity Announcement (FOA) did not include budget forms.

To address this issue, a new application forms package with the correct budget form options has been posted in Grants.gov identified by a Competition ID of '**FORMS-F-REVISED**'. If you initiated an application using the original (FORMS-F) package, you must move the application information to the **FORMS-F-REVISED** application package to successfully submit. If you are not sure which application package you are using, check out this resource: [Do I Have The Right Electronic Forms for My Application \(nih.gov\)](#).

Note: ASSIST, Workspace and many institutional system-to-system solutions allow application data to be easily copied from one application package to another. Contact appropriate support team for assistance (ASSIST –[eRA Service Desk](#); Workspace –[Grants.gov Contact Center](#)).

### Inquiries

Please direct all inquiries to:

Ravi Basavappa, Ph. D.  
Email: [NewInnovatorAwards@mail.nih.gov](mailto:NewInnovatorAwards@mail.nih.gov)  
Telephone: 301-435-7204





**Center for  
Scientific Review**

## **NIH Director's New Innovator Award (DP2)**

**Gene Carstea, PhD**

Scientific Review Officer, New Innovator Award Review

Chief, Cardiovascular and Respiratory Sciences (CVRS) IRG

CSR, NIH

**Imoh Okon, PhD**

Scientific Review Officer, New Innovator Award Review

Cardiovascular and Respiratory Sciences (CVRS) IRG

CSR, NIH



**2021-22 Webinar**

**June 29, 2021**



# New Innovator Award Review Process



Public Announcement of  
Awards: ~September

Receipt: Late-August

## Two Stage Review Process:

Stage 1: Mail Review of all Applications

- *Finalists* Selection via Prelim Scores

Stage 2: Editorial Panel (meets in March)

- Discussion of all *Finalist* Applications
- Final scores

Advisory/ Council of Councils: May

Selection of Awardees by OD / ICs



## Peer-Review Process – Pre-Stage 1

- All applications are assigned to one panel
  - located within the *Cardiovascular and Respiratory Sciences* (CVRS) IRG
- Administrative Review: A check for completeness and ESI eligibility
- Applications are grouped based on areas of science, as identified by applicant
- Potential *Conflicts of Interest* will be considered for each application
  - e.g., Institutional, Collaborative, etc.
- *Mail Reviewers* will be recruited to cover all representative areas of science



# Peer-Review Process: Stage 1 (Mail Reviews)

Recruit Panel of Mail Reviewers



Match Reviewers with Science



3 Reviewers / Application



Evaluation: 3 Criteria and an  
Overall Impact Score (1-9)

1. "Importance and Potential Impact of the Scientific Problem"
2. "Novelty / Innovativeness of Approach"
3. "Creative Potential of ESI"

**Overall Impact Paragraph**





## Selection of Finalists for Stage 2

- ✓ Selection based on Rank Score order by Mail reviewers
  - Overall Impact Scores
- ✓ Unbiased selection of applications by Editorial reviewers
  - Combination of Scores and Impact statements

In total: Approx. 20% of all submitted applications will advance to Stage 2



## Stage 2: Editorial Review

- “Editorial board” consisting of ~25 panelists
  - senior members of the scientific community
  - experts with broad scientific understanding
- Each finalist application is assigned to 3 Editor/Reviewers
  - all finalist applications will be discussed
- Scoring is focused on *Impact & Innovation*
- Each assigned Editor/Reviewer provides an impact statement and impact score
- Release of final scores and Summary Statements (for all)
  - Discussed statements: will receive 2<sup>nd</sup> stage impact statements with resume and summary of discussion
  - Non-discussed statements: will receive 1<sup>st</sup> stage critiques





## Questions about the Review process

### Contact:

Gene Carstea    SRO, New Innovator Award  
Chief, CVRS IRG  
301-827-3384; [carsteae@csr.nih.gov](mailto:carsteae@csr.nih.gov)

Imoh Okon        SRO, New Innovator Award  
CVRS IRG  
301-347-8881; [imoh.okon@nih.gov](mailto:imoh.okon@nih.gov)

This webinar is being recorded.

# Q&A Webinar

June 29, 2021 @ 3:00 PM EDT

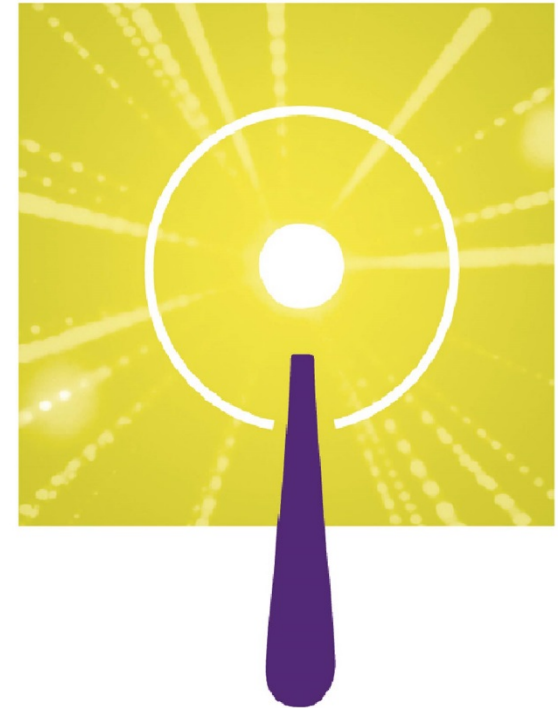
Please submit your questions in the “Q&A” box  
(scientific inquiries will not be discussed)

*Eligibility*



National Institutes of Health  
Office of Strategic Coordination - The Common Fund

NIH DIRECTOR'S  
**NEW INNOVATOR**  
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# Q&A Webinar

June 29, 2021 @ 3:00 PM EDT

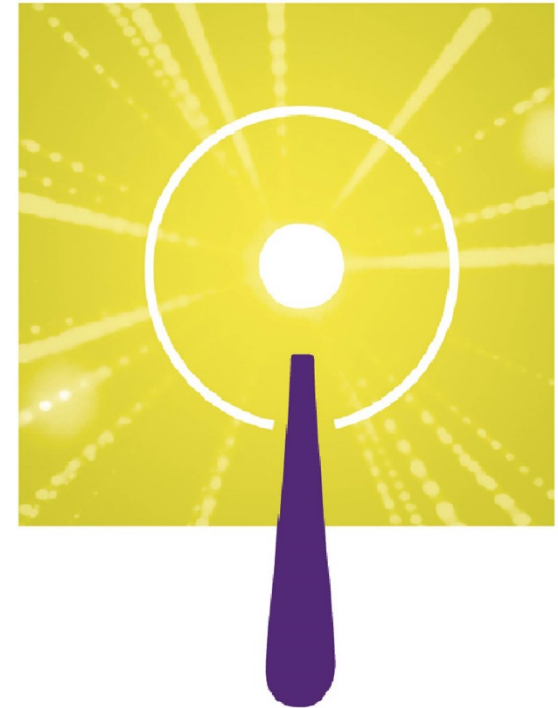
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(scientific inquiries will not be discussed)

*Application & Submission*



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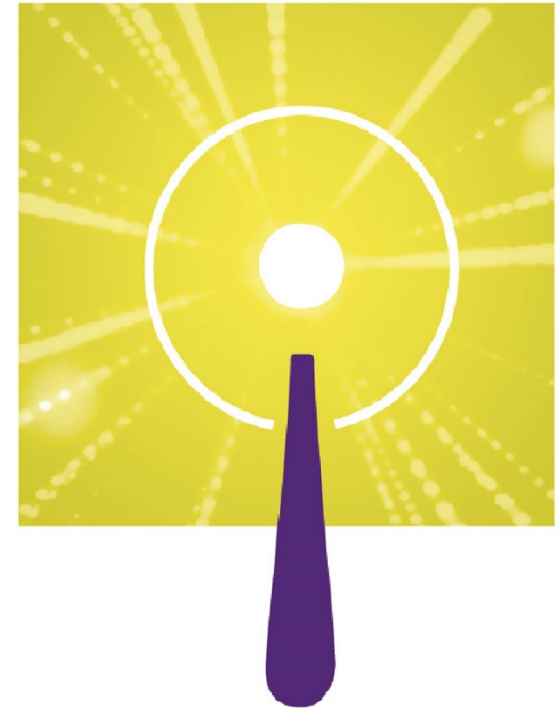
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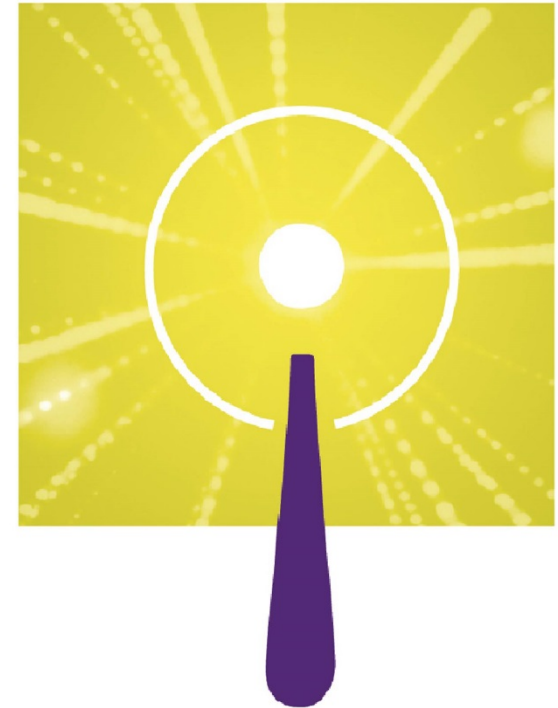
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*Review*



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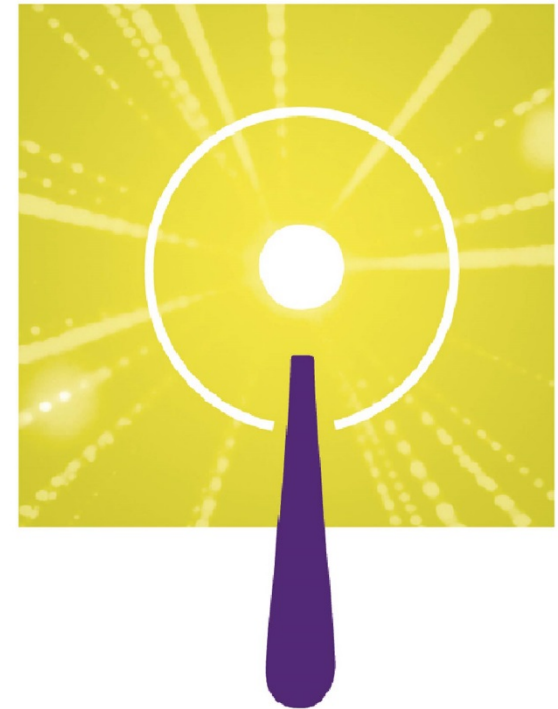
Thank you for attending.

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